

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

WARREN M. FARNWORTH
ALAN G. WOOD

Serial No.: 09/420,086

ART UNIT: 2811

Filing Date: OCTOBER 18, 1999

EXAMINER:

Title: METHOD FOR FABRICATING
SEMICONDUCTOR COMPONENTS

Attorney Docket No.: 98-0105.1



**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §1.97
PRIOR TO THE FIRST OFFICE ACTION ON THE MERITS**

February 14, 2000

Assistant Commissioner of Patents
BOX AMENDMENT (NON FEE)
Washington, D.C. 20231

Dear Sir:

The Information Disclosure Statement transmitted herewith is being filed within 3 months of filing or before the first Office Action on the merits, whichever is later.

A duplicate of this transmittal is attached. If any fees are due, please charge the account of 07-1857.

Respectfully submitted:


Stephen A. Grattan
Registration No. 28,418
Attorney for Applicants

2764 South Braun Way
Lakewood, CO 80228
Telephone: (303) 989 6353
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CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

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February 14, 2000
Date of Signature

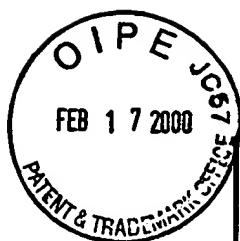
S. A. Gratton
Stephen A. Gratton
Attorney for Applicants

GP28/11

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PTO/SB/21 (6-98)
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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	09/420,086	
		Filing Date	October 18, 1999	
		First Named Inventor	FARNWORTH et al.	
		Group Art Unit	2811	
		Examiner Name		
Total Number of Pages in This Submission		Attorney Docket Number		98-0105.1

ENCLOSURES (check all that apply)				
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund <input type="checkbox"/> Remarks	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below)	Return receipt postcard	RECEIVED FFR 1 A 0000 TECHNOLOGY CENTER 2800

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

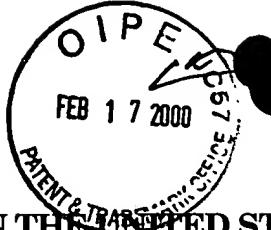
Firm or Individual name	Stephen A. Gratton THE LAW OFFICE OF STEPHEN A. GRATTON		
Signature			
Date	February 14, 2000		

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: **2/14/00**

Typed or printed name	Stephen A. Gratton		
Signature		Date	2/14/00

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Sir:

The following sections are being submitted for this Information Disclosure Statement:

1. Preliminary Statements.
2. FORM PTO - 1449.
3. Statement As to Information Material to Examination Not Found in Patents or Publications.
4. Identification of Prior Application in Which Listed Information Was Already Cited and For Which No Copies are Submitted Or Need be Submitted.
5. Cumulative Patents or Publications.
6. Copies of Listed Information Items Accompanying This Statement.
7. Concise Explanation of Non-English Language Listed Information Items.
8. Translation(s) of Non-English Language Documents.
9. Identification of Person(s) Making This Information Disclosure Statement.

Section 1. Preliminary Statements

Applicant(s) submit herewith patents, publications or other information of which they are aware which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. §1.56.

The filing of this information disclosure statement shall not be construed as a representation that a search has made (37 C.F.R. 1.56 (g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

Section 2. Identification of Person(s) Making This INFORMATION DISCLOSURE STATEMENT

The person making this statement is

(a) the inventor(s) who signs below

SIGNATURE OF INVENTOR

Name of Inventor

(b) x the attorney who signs below on the basis of:

 the information supplied by the inventor(s)
 an individual associated with the filing and prosecution of this application (37 CFR 1.56(c))
x the information in the attorney's file.

DATED this 14th day of February, 2000.

Respectfully submitted:



Stephen A. Gratton
Registration No. 28,418
Attorney for Applicants

2764 South Braun Way
Lakewood, CO 80228
Telephone: (303) 989 6353
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Stephen A. Gratton, Attorney for Applicants